

3. Agency Component Abbreviations

Component Abbreviation	Component Name
GSA-Main	Headquarters
J	Office of Inspector General

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts.	Sinkfield v. HUD, No.10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the	GSA-Main	9	9
			J	0	

		Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).			
31 U.S.C. § 3730(b)(2)	Records pertaining to civil actions for false claims against the United States Government.	N/A	J	5	6
			GSA-Main	1	
10 U.S.C. § 2305(g)	Certain contractor proposals	Roman v. NSA, Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb.22, 2012); Margolin v. NASA, No. 09-CV-00421-LRH- VPC, 2011 WL 1303221,at *6 (D. New Mar 31, 2011).	J	0	1
			GSA-Main	1	
10 U.S.C. § 130b	Personally identifiable information pertaining to "any member of the armed forces	Freedom Watch, Inc. v. NSA, 197 F. Supp. 3d 165, 174 (D.D.C. 2016); Hiken v. DOD, 521 F. Supp. 2d 1047,	J	1	2
			GSA-Main	1	

	assigned to an overseas unit, a sensitive unit, or a routinely deployable unit" and "any employee of the Department of Defense or of the Coast Guard whose duty station is with any such unit"	1062 (N.D. Cal. 2007); O'Keefe v. DOD, 463 F. Supp. 2d 317, 325 (E.D.N.Y. 2006); Windel v. United States, No. A02-306, 2005 WL 846206, at *2 (D. Alaska Apr. 11, 2005).			
26 U.S.C. §§ 6103, 6105	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information.	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. §	J	0	2
			GSA-Main	2	

		6105).			
41 U.S.C. § 2102 (amending 41 U.S.C. § 423 (a)(1))*	Contractor bid or proposal information; source selection information.	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	J	0	4
			GSA-Main	4	

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
GSA-Main	449	1278	1230	497
J	5	71	64	12
AGENCY OVERALL	454	1349	1294	509

**After reviewing its database, GSA-MAIN has adjusted the number of requests pending as of the start of the Fiscal Year.*

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency /	Number	Number	Number of	Number of Full Denials Based on Reasons Other than Exemptions	
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Component	of Full Grants	of Partial Grants / Partial Denials	Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	TOTAL
GSA-Main	198	556	15	98	27	78	61	73	14	84	26	0	1230
J	3	24	0	20	0	2	0	5	1	9	0	0	64
AGENCY OVERALL	201	580	15	118	27	80	61	78	15	93	26	0	1294

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
GSA-Main	N/A	0	0
J	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
GSA-Main	0	2	16	326	183	475	48	1	47	1	18	103	0	0
J	0	0	6	5	10	23	3	0	18	2	4	5	0	0
AGENCY OVERALL	0	2	22	331	193	498	51	1	65	3	22	108	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
GSA-Main	15	30	33	12
J	0	1	1	0
AGENCY OVERALL	15	31	34	12

**After reviewing its database, GSA updated the number of appeals pending at the start of the Fiscal Year for the following components: GSA-Main.*

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
GSA-Main	7	7	6	13	33
J	0	1	0	0	1
AGENCY OVERALL	7	8	6	13	34

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
GSA-Main	0	1	1	12	9	19	2	0	2	0	0	3	0	0
J	0	0	0	1	1	1	0	0	1	0	0	1	0	0
AGENCY OVERALL	0	1	1	13	10	20	2	0	3	0	0	4	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
GSA-Main	4	1	10	0	0	1	0	0	0	0	0
J	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	4	1	10	0	0	1	0	0	0	0	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
GSA-Main	N/A	0	0
J	N/A	0	0

AGENCY OVERALL			0
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VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
GSA-Main	40.0	114.18	2.0	1053.0
J	14.0	14.0	14.0	14.0
AGENCY OVERALL	39.0	111.24	2	1053

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
GSA-Main	Date of Appeal	2021- 04-19	2020- 12-29	2020- 12-04	2020- 08-20	2020- 08-06	2020- 06-02	2018- 12-03	2018- 05-22	2018- 05-21	2017- 11-03
	Number of Days	114	190	205	276	286	332	703	835	836	968

	Pending										
J	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	2021-04-19	2020-12-29	2020-12-04	2020-08-20	2020-08-06	2020-06-02	2018-12-03	2018-05-22	2018-05-21	2017-11-03
	Number of Days Pending	114	190	205	276	286	332	703	835	836	968

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
GSA-Main	10.00	9.54	1	20.0	42.0	131.71	1	976.0	44.0	115.29	1	869.0
J	15.5	14.41	4.0	19.0	29.0	45.45	21.0	126.0	15.0	13.67	11.0	15.0
AGENCY OVERALL	10.0	9.85	1	20	42.0	130.01	1	976	41.0	111.38	1	869

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
GSA-Main	11.0	11.18	0	20.0	40.05	88.62	8.0	976.0	41.0	57.61	1	204.0
J	16.0	15.62	7.0	19.0	29.0	45.45	21.0	126.0	15.0	15.0	15.0	15.0
AGENCY OVERALL	11.5	11.37	0	20	40.0	87.47	8	976	41.0	56.21	1	204

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

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VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
GSA-Main	24	238	87	36	19	32	10	6	8	8	15	12	51	546
J	0	6	2	2	0	0	1	0	0	0	0	0	0	11
AGENCY OVERALL	24	244	89	38	19	32	11	6	8	8	15	12	51	557

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
GSA-Main	15	20	16	5	1	3	0	2	3	3	1	0	6	75
J	3	0	0	0	0	0	0	0	0	0	0	0	0	3
AGENCY OVERALL	18	20	16	5	1	3	0	2	3	3	1	0	6	78

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
GSA-Main	233	119.0	245.62	221	337.0	357.92	21	457.0	497.95
J	6	11.5	26.97	2	180.00	180.0	1	1	1
AGENCY OVERALL	239	119.0	240.13	223	337.0	356.32	22	443.5	475.32

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
GSA-Main	Date of Receipt	2018-06-05	2018-03-07	2018-01-04	2018-01-02	2017-12-18	2017-12-18	2017-12-18	2017-11-28	2017-08-28	2017-07-04
	Number of Days Pending	829	890	931	936	944	944	944	959	1019	1045
J	Date of Receipt	N/A	2021-09-27	2021-09-23	2021-09-14	2021-09-14	2021-09-14	2021-09-10	2021-08-30	2021-04-27	2020-04-29

	Number of Days Pending	0	0	5	11	11	12	13	22	108	352
AGENCY OVERALL	Date of Receipt	2018-06-05	2018-03-07	2018-01-04	2018-01-02	2017-12-18	2017-12-18	2017-12-18	2017-11-28	2017-08-28	2017-07-24
	Number of Days Pending	829	890	931	936	944	944	944	959	1019	1045

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
GSA-Main	68	105	1.0	4.46	168
J	3	11	5.0	9.57	9
AGENCY OVERALL	71	116	1.0	4.84	177

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
GSA-Main	20	95	0.01	0.27
J	4	3	.01	.01
AGENCY OVERALL	24	98	0.01	0.25

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
GSA-Main	25	0.50	25.50	868510.91	750.00	869260.91
J	2	0.00	2.00	120640.00	0.00	120640.00
AGENCY OVERALL	27	0.50	27.50	989150.91	750.00	989900.91

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
GSA-Main	32981.00	3.8000
J	0.00	0.0000
AGENCY OVERALL	32981.00	3.3300

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
GSA-Main	0
J	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
GSA-Main	35	903
J	0	32
AGENCY OVERALL	35	935

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
GSA-Main	390	12
J	2	0

AGENCY OVERALL	392	12
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XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
GSA-Main	0	42	30	12
J	0	4	4	0
AGENCY OVERALL	0	46	34	12

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency /		10th Oldest	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest
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Component		Consultation									Consultation
GSA-Main	Date	2021-09-09	2021-09-07	2021-09-01	2021-08-10	2021-07-12	2021-07-07	2021-06-23	2021-05-21	2021-04-14	2021-03-08
	Number of Days	16	18	21	37	58	61	70	91	117	144
J	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	2021-09-09	2021-09-07	2021-09-01	2021-08-10	2021-07-12	2021-07-07	2021-06-23	2021-05-21	2021-04-14	2021-03-08
	Number of Days	16	18	21	37	58	61	70	91	117	144

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report

GSA-Main	1306	1278	1383	1230
J	46	71	46	64
AGENCY OVERALL	1352	1349	1429	1294

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
GSA-Main	353	390
J	2	2
AGENCY OVERALL	355	392

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
GSA-Main	33	30	31	33
J	2	1	3	1
AGENCY OVERALL	35	31	34	34

**After reviewing its database, GSA-MAIN has adjusted the number of requests pending as of the start of the Fiscal Year.*

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
GSA-Main	11	12
AGENCY OVERALL	11	12

